



GE Interest Plus Application for Investment – GE Employees/Retirees

INSTRUCTIONS:

- Carefully complete and sign this application. Then mail it to us with your initial investment check for \$500 or more (or \$250 if you sign up for Auto Invest).
- Your investment check must be drawn on a U.S. bank or U.S. branch of a foreign bank. Funds must be in U.S. dollars. Cash, money orders, traveler's checks, starter checks, cashier's checks, credit card checks and third party checks are not acceptable. Please print all items except signatures.
- Lifetime limit of one incentive per Social Security Number.
- GE Interest Plus is offered only to U.S. persons (including resident aliens).

- If you are establishing a trust investment, you must attach the first two and last two pages of the trust agreement.
- Mail to GE Interest Plus, P.O. Box 6294, Indianapolis, IN 46206-6294
- Please note that it may take as many as two weeks for us to receive and process the application. You will be mailed a confirmation statement and welcome letter after your initial investment has been accepted.
- Questions? Call 1-800-433-4480. Representatives are available business days from 8:30 a.m. to 7 p.m. ET.

IMPORTANT INFORMATION ABOUT PROCEDURES FOR AN INITIAL INVESTMENT

To help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. To verify your identity, we require information on each registered owner/co-owner of the investment. As part of normal verification procedures, a credit bureau inquiry will be conducted.

1. CHOOSE YOUR INVESTMENT REGISTRATION TYPE (Required Information – Check Only One)

- INDIVIDUAL INVESTMENT**
The investment is registered to one individual.
- JOINT INVESTMENT** More than one person owns the investment. Multiple owners will be deemed to be Joint Tenants with Rights of Survivorship unless you indicate otherwise by attaching a letter of instruction. Both signatures are required for all changes regarding your investment. Only one signature is required for check redemptions.
- TRUST INVESTMENT** An investment is made in accordance with provisions of a trust agreement. Trustee's or designated Agent's signature required for all transactions. **You must attach first two and last two pages of trust agreement to this application or the investment will not be processed.**
- CUSTODIAL INVESTMENT (GIFTS/TRANSFERS TO MINORS ACT)** The minor is the beneficial owner of the investment. Only one adult custodian may manage the investment until the minor comes of age as specified in the Uniform Gifts/Transfers to Minors Act (UGMA). Custodian's signature required for all transactions. **Please note that the minor cannot sign this application. Only the custodian may sign.**

2. INVESTMENT REGISTRATION (REQUIRED)

PRIMARY OWNER OR MINOR (IF CUSTODIAL INVESTMENT)

First Name, Middle Initial, Last Name _____

Social Security Number _____ / / _____
Date of Birth

Driver's License Number (Not Applicable if for Minor)* _____ Issuing State _____

If Minor, their State of Residence _____

JOINT OWNER OR CUSTODIAN

First Name, Middle Initial, Last Name _____

Social Security Number _____ / / _____
Date of Birth

Driver's License Number _____ Issuing State _____

TRUST INVESTMENTS

| | | |
|---|--|-------------------------------|
| Trust Title _____ | Trust Date _____ | Trust Tax ID Number _____ |
| Name of Trustee _____ | Social Security Number of Trustee _____ | |
| Driver's License Number of Trustee _____ | Issuing State _____ | Date of Birth _____ / / _____ |
| Name of Co-Trustee (If Applicable) _____ | Social Security Number of Co-Trustee _____ | |
| Driver's License Number of Co-Trustee _____ | Issuing State _____ | Date of Birth _____ / / _____ |

3. REGISTERED ADDRESS (REQUIRED)

This is the established place of residence of the primary owner, trustee or custodian, of the investment. This address will be imprinted on your checks and all mailings will be sent to this address unless you choose a separate mailing address. **Please note that your registered address cannot be a foreign address or P.O. Box.**

REQUIRED INFORMATION

Home Street Address _____

City/State/Zip _____
() ()

Home Phone (Required) _____ Alternate Phone (Cell phones acceptable) _____

Email Address (if available) _____

Have you been at the above street address for more than 5 years? **If not**, please provide us with your previous mailing address below.

Previous Street Address _____

Previous City/State/Zip _____

4. JOINT OWNER'S OR CO-TRUSTEE'S ADDRESS

Only fill out if the address and phone are different from those provided in section 3 above. **Please note: this address must be the established place of residence of the joint owner or co-trustee and must not be a foreign address or P.O. Box.**

REQUIRED INFORMATION IF APPLICABLE

Home Street Address _____

City/State/Zip _____
() ()

Home Phone (Required) _____ Alternate Phone (Cell phones acceptable) _____

Email Address (if available) _____

Have you been at the above street address for more than 5 years? **If not**, please provide us with your previous mailing address below.

Previous Street Address _____

Previous City/State/Zip _____

REQUIRED INFORMATION - FILL OUT APPROPRIATE SECTION(S)

5. MAILING ADDRESS (NOT REQUIRED)

If the registered address is not your mailing address, please write your mailing address below. **Your mailing address must not be a foreign address. However, you may use a P.O. Box for your mailing address. Please note that your welcome kit and initial confirmation will be mailed to your registered address. Subsequent mailings will be sent to your mailing address.**

Street Address/City/State/Zip

6. PROVIDE INFORMATION ON YOUR INITIAL INVESTMENT (REQUIRED)

Initial investment checks must be drawn on U.S. banks or U.S. branches of foreign banks and be for a minimum of \$500 or \$250 if you also elect the Auto Invest Service. **Please make the check payable to GE Interest Plus.** Funds must be in U.S. dollars. Money orders, traveler's checks, starter checks, cashier's checks, credit card checks, cash and third party checks are not accepted. [Checks will not be deposited until the identity of each investor has been verified.] If you choose Payroll Deduction, you do not have to send an initial investment check.

Enclosed is a check, payable to GE Interest Plus for: \$ _____

GE Payroll Deduction (Complete last page of application)

While investments made by mail will begin to earn interest on the date of credit, they are not available for redemption until 7 business days after they are credited to your account.

7. ENROLL IN OPTIONAL SERVICES (RECOMMENDED)

Choose these services to make it easier to add to or redeem your GE Interest Plus investment. These services link your GE Interest Plus investment to your designated checking account. **Please note that you can only designate one bank checking account and the registration of that account must either match the registration of your GE Interest Plus registration, or have at least one common registrant.** In addition, if the bank account registration lists individuals who are not listed on the GE Interest Plus registration, those individuals must authorize access to the bank account by providing a letter of instruction with a Medallion signature guarantee. If you want to establish these services for a Trust or UGMA account, the bank account registration must match the registration of the GE Interest Plus Trust or UGMA investment.

Choose the appropriate services:

Quick Transactions (Quick Invest and Quick Redemption)

Link your bank checking account to your GE Interest Plus investment and add to your investment or redeem electronically. Money from your transaction will be transferred to or from your bank checking account through the Automated Clearing House network. Quick Redemptions must be for a minimum of \$25 and a maximum of \$50,000. Quick Invests must be for a minimum of \$25. Transfers typically take place in 2 to 3 business days. Investments made in this manner will be available for redemption 3 business days after posting. **There are no fees for this service.**

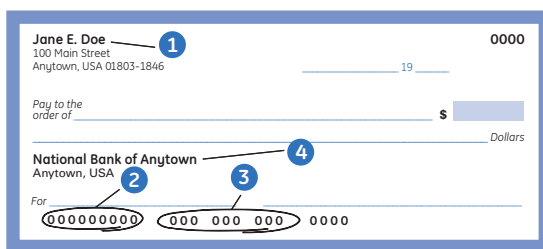
Auto Invest

Add the same amount to your GE Interest Plus investment automatically on the same day of each month (minimum \$25). Transfers will be made from your designated bank checking account. **There are no fees for this service.**

Please transfer: \$ _____ on the _____ day of each month.

Wire Redemption Option

Check this option to have the ability to redeem your investment and wire the proceeds to your designated bank checking account (minimum of \$2500). A \$15 wire fee is charged for each wire. Please note that wire requests must be received by 12 p.m. ET in order to be processed on the same business day.



1

Account Registered to:

2

Bank Routing Number (9-digit number)

3

Checking Account Number

4

Bank Name

Bank Street Address

Bank City/State/Zip

Please note: if elected, Quick Transactions or Auto Invest will be effective 10 business days after you make your initial investment. Investments made through these services are available for redemption 3 business days after they are credited to your investment.

ATTACH VOIDED BLANK CHECK FOR VERIFICATION OF ACCOUNT (REQUIRED)

8. YOUR BUSINESS

GE Employee

- GE Corporate – 200
- GE Advanced Materials – 201
- GE Commercial Finance – 202
- GE Consumer & Industrial – 203

- GE Energy – 204
- GE Equipment Services – 205
- GE Healthcare – 206
- GE Infrastructure – 207
- GE Insurance Solutions – 208

- GE Money – 209
- GE Transportation – 210
- NBC/Universal – 211
- Other – 212 (specify) _____

GE Retiree

- GE Retiree – 16
(must be entire pension check)

9. SIGN THE FORM – SIGNATURE(S) REQUIRED

Please read and sign this section in ink:

By signing below:

- You authorize General Electric Capital Corporation (GE Capital) and its agents to initiate debits and credits to your designated checking account to implement fund transfers in the services you have requested. You also authorize the bank that holds your checking account ("Bank") to honor these debits and credits. All fund transfers under the services will comply with U.S. laws. You may terminate this funds transfer authorization by providing written notice to GE Capital, but your notice will be effective only after GE Capital and your Bank have a reasonable opportunity to act on your notice. In addition, you authorize GE Capital and its agents to obtain a credit report on you from a consumer reporting agency and take other steps to verify information about you and your checking account.
- You certify that you have received the prospectus.
- You understand: (a) That to comply with federal regulations, information provided by you on this form will be used to verify your identity. For example, your identity may be verified through the use of a database maintained by a third party. If your identity cannot be verified, you understand that you may be required to provide additional information, and that your account may be closed, your account redeemed and bonus cancelled, if verification is still not possible in a timely manner. Such redemptions may have tax consequences. (b) That as part of normal account verification procedures, a credit bureau inquiry will be conducted.
- You acknowledge that the sign-up incentive will be included in the total annual interest income reported to the IRS in connection with your investment.
- You understand that an investment is not equivalent to a deposit or other bank account and is not subject to the protection of the Federal Deposit Insurance Corporation or any other insurance. An investment is not guaranteed under the Federal Deposit Insurance Corporation's Temporary Liquidity Guarantee Program.

A GE Interest Plus investment is not a brokerage account with GECC Capital Markets Group, Inc. or any other broker/dealer and is not protected by the Securities Investor Protection Corporation under the Securities Investors Protection Act of 1970. GE Interest Plus is not a money market fund and is not subject to the requirements of the Investment Company Act of 1940 (including diversification of investments) or the Employee Retirement Income Security Act of 1974, as amended.

- You have made an independent determination that GE Interest Plus is suitable for your investment needs in light of your financial circumstances and investment objectives.
- You have received a copy of the GE Interest Plus Privacy Policy.

Under penalties of perjury, I certify that:

1. **The number shown on this form is my correct taxpayer identification number (or you are waiting for a number to be issued to you), and**
 2. **I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and,**
 3. **I am a U.S. person (including a U.S. resident alien)**
- You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.**

PLEASE SIGN CAREFULLY AS SIGNATURE(S) WILL BE USED TO VERIFY CHECK REDEMPTIONS AND CHANGES. SIGN IN BLACK INK ONLY.

The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

Signature of Individual, Primary Owner, Trustee or Custodian

Date

Signature of Joint Owner or Co-Trustee (if applicable)

Date

If this is a custodial Uniform Gift/Transfer to Minor's Account, the custodian must sign the application. The minor cannot sign.

GEIP-EMPIInvest (6/09)

Have You?

- 1) Had all owners sign the application?
- 2) Included your initial investment check (made payable to GE Interest Plus)?
- 3) Attached a voided copy of your check if you elected any of the Optional Services?
- 4) Enrolled in GE Payroll Deduction?

Questions? To Speak with a Service Representative call
1-800-433-4480 Monday - Friday, from 8:30 a.m. to 7 p.m. Eastern Time.

Mail to: **GE Interest Plus**, P.O. Box 6294, Indianapolis, IN 46206-6294

If you are sending your application via express mail, send to
GE Interest Plus, 4000 Pendleton Way, Indianapolis, IN 46226-5224



GE Interest Plus Payroll/Pension Investment Authorization Form

It is not necessary to send any money now if you choose the Payroll Deduction option. Questions: call 1-800-433-4480.
 Available to employees of GE, its subsidiaries; can be selected for only one GE Interest Plus Investment Account per employee.
 (Minimum \$25 per deduction.)

REQUIRED INFORMATION

1. EMPLOYEE/RETIREE DATA

EMPLOYEE RETIREE

| | | |
|------------------------------------|---|--|
| Name _____ | | Social Security Number (Required) _____ |
| () | () | |
| Home Phone (Required) _____ | Alternate Phone (Cell phones acceptable) _____ | Email Address (if available) _____ |

2. YOUR BUSINESS

GE Employee

- GE Corporate – 200
- GE Advanced Materials – 201
- GE Commercial Finance – 202
- GE Consumer & Industrial – 203
- GE Energy – 204
- GE Equipment Services – 205
- GE Healthcare – 206

- GE Infrastructure – 207
- GE Money – 209
- GE Transportation – 210
- NBC/Universal – 211
- Other - 212 (specify) _____

GE Retiree

- GE Retiree – 16
(must be entire pension check)

3. INVESTMENT OPTION (Please check only one)

GE Employee

Indicate amount you want deducted from each paycheck.

- Entire Paycheck
- \$_____ per Paycheck (Minimum \$25.)

GE Retiree

Entire pension check must be deposited.

- Entire Pension Check

4. YOUR AUTHORIZATION

By signing below, I authorize my payroll office to initiate debits or credits to my GE Interest Plus Investment. I may change or revoke this authorization anytime, with such requests to take effect at the earliest possible date.

Signature _____ Date _____

Official Use Only

 GEIP Investment Account #
 ABA#274070442 (checking)
 The Huntington National Bank, Indianapolis, IN 1-800-433-4480

SIGNATURE(S) REQUIRED